



CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date.

Date:

8/29/06

Name:

G. Peter Nichols

Signature:

BRINKS
HOFFER
GILSON
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Rigby et al.

Appln. No.: 10/575,800

Filed: April 13, 2006

For: ELECTROCHEMICAL REDUCTION OF
METAL OXIDES

Examiner: TBA

Art Unit: TBA

Attorney Docket No: 9378/207 (FP 23680)

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450
Sir:

TRANSMITTAL

Attached is/are:

- ☒ Transmittal Letter; Supplemental Information Disclosure Statement; Form PTO-1449; cited references B4-B15 and
☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
☐ Small Entity.
☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(____).
☐ An additional filing fee has been calculated as shown below:

| | | | | | Small Entity | | | Not a Small Entity | |
|---|----------------------------------|-------|---------------------------------|---------------|--------------|-----------|----|--------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | or | Rate | Add'l Fee |
| Total | | Minus | | | x \$25= | | | x \$50= | |
| Indep. | | Minus | | | x 100= | | | x \$200= | |
| First Presentation of Multiple Dep. Claim | | | | | +\$180= | | | +\$360= | |
| | | | | | Total | \$ | | Total | \$ |

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

G. Peter Nichols (Reg. No. 34,401)

Date

29 AUG 2006

BRINKS HOFFER GILSON & LIONE

NBC Tower - Suite 3600, 455 N. Cityfront Plaza Drive, Chicago, IL 60611-5599

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Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

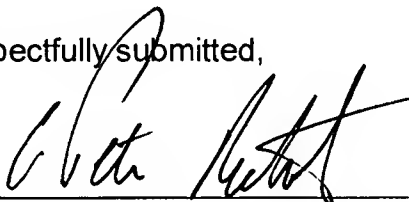
By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

29 AUG 2006

Date

Respectfully submitted,

G. Peter Nichols (Reg. No. 34,401)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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For: ELECTROCHEMICAL
REDUCTION OF METAL
OXIDES

Examiner: TBA

Art Unit: TBA

Attorney Docket No: 9378/207 (FP 23680)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

| DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | NAME |
|--|-----------|----------------|
| 2003/0173228 A1 | 9/18/2003 | Strezov et al. |
| 2004/060826 A1 | 4/1/2004 | Godfrey |
| 2004/237711 A1 | 12/2/2004 | Ono et al. |

FOREIGN DOCUMENTS

| DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | COUNTRY |
|--|------------|----------------|
| BR 9203400-4 A | 8/21/1992 | Brazil |
| JP 2004052037 | 2/19/2004 | Japan |
| GB 2359564 | 8/29/2001 | United Kingdom |
| GB 2362164 | 11/14/2001 | United Kindgom |
| WO 99/064638 | 12/16/1999 | WIPO |
| WO 03/038156 | 5/8/2003 | WIPO |
| WO 04/053201 | 6/24/2004 | WIPO |
| WO 04/113593 | 12/29/2004 | WIPO |
| WO 05/031041 | 4/7/2005 | WIPO |

OTHER DOCUMENTS

| |
|---|
| International Preliminary Report on Patentability for PCT/AU2004/001410 dated April 18, 2006 |
| International Search Report for PCT/AU2004/001410 dated November 18, 2004 |
| Skula, L.B. et al., "Bioleaching of Sukinda Laterite Using Ultrasonics", <i>Hydrometallurgy</i> , Vol. 37, No. 3, pp. 387-391, 1995 |



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|---|-------------------------------|---------------------------------|
| FORM PTO-1449 | SERIAL NO. 10/575,800 | CASE NO. 9378/207 (FP 23680) |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE April 13, 2006 | GROUP ART UNIT TBA |
| (use several sheets if necessary) | | APPLICANT(S): Rigby et al. |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|---------------------|----|--|-----------|----------------|--------------------|----------------|
| /A.P./ | B1 | 2003/0173228 A1 | 9/18/2003 | Strezov et al. | | |
| /A.P./ | B2 | 2004/060826 A1 | 4/1/2004 | Godfrey | | |
| /A.P./ | B3 | 2004/237711 A1 | 12/2/2004 | Ono et al. | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES OR NO |
|---------------------|-----|--|------------|----------------|--------------------|--------------------------|
| /A.P./ | B4 | BR 9203400-4 A | 8/21/1992 | Brazil | | Abstract |
| /A.P./ | B5 | JP 2004052037 | 2/19/2004 | Japan | | Abstract |
| /A.P./ | B6 | GB 2359564 | 8/29/2001 | United Kingdom | | |
| /A.P./ | B7 | GB 2362164 | 11/14/2001 | United Kindgom | | |
| /A.P./ | B8 | WO 99/064638 | 12/16/1999 | WIPO | | |
| /A.P./ | B9 | WO 03/038156 | 5/8/2003 | WIPO | | Abstract |
| /A.P./ | B10 | WO 04/053201 | 6/24/2004 | WIPO | | |
| /A.P./ | B11 | WO 04/113593 | 12/29/2004 | WIPO | | |
| /A.P./ | B12 | WO 05/031041 | 4/7/2005 | WIPO | | |

| EXAMINER INITIAL | OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small> | |
|---------------------|---|---|
| /A.P./ | B13 | International Preliminary Report on Patentability for PCT/AU2004/001410 dated April 18, 2006 |
| /A.P./ | B14 | International Search Report for PCT/AU2004/001410 dated November 18, 2004 |
| /A.P./ | B15 | Skula, L.B. et al., "Bioleaching of Sukinda Laterite Using Ultrasonics", <i>Hydrometallurgy</i> , Vol. 37, No. 3, pp. 387-391, 1995 |

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|---------------------------|-------------------------------|
| EXAMINER /Arun Phasge/ | DATE CONSIDERED 12/20/2010 |
|---------------------------|-------------------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.